



Maternal, Infant, and Early Childhood Home Visiting Program

June 17, 2010

Health Resources and Services Administration
Administration for Children and Families
U.S. Department of Health and Human Services



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Overview of Presentation

- A. Legislative Authority
- B. Home Visiting Program Purpose and Guiding Principles
- C. Key Features: Evidence-based Policy and Needs Assessment
- D. FY2010 Funding Award Process
- E. 1st Funding Opportunity Announcement and Eligible Applicants
- F. Questions & Answers
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Legislative Authority

- Section 2951 of the Affordable Care Act of 2010 (P.L. 111-148)
- Amends Title V of the Social Security Act to create Section 511: Maternal, Infant, and Early Childhood Home Visiting Programs
- \$1.5 billion over 5 years
 - \$100 m FY 2010
 - \$250 m FY 2011
 - \$350 m FY 2012
 - \$400 m FY 2013 and FY 2014
- Grants to States (with 3 percent set-aside for grants to Tribes, Tribal Organizations, or Urban Indian Organizations)
- Requirement for collaborative implementation by HRSA and ACF

Legislation Purposes

- (1) To strengthen and improve the programs and activities carried out under Title V;
- (2) To improve coordination of services for at-risk communities; and
- (3) To identify and provide comprehensive home visiting services to improve outcomes for families who reside in at-risk communities

Home Visiting Program Purpose

Through “evidence-based” home visiting programs, promote:

- Improvements in maternal and prenatal health, infant health, and child health and development;
- Increased school readiness;
- Reductions in the incidence of child maltreatment;
- Improved parenting related to child development outcomes;
- Improved family socio-economic status;
- Greater coordination of referrals to community resources and supports; and
- Reductions in crime and domestic violence.

Home Visiting Program Guiding Principles

1. The program will promote high-quality early childhood home visiting services by funding States to implement effective evidence-based programs grounded in empirical knowledge.
2. The program will set high standards, provide extensive support, and hold States accountable for implementing such high-quality, evidence-based early childhood home visiting programs.

Home Visiting Program Guiding Principles, continued

3. The programs will allow for continued experimentation with new models and evaluation of both new and existing approaches so that, over time, policy makers and practitioners will have more refined information about the approaches that work best, how different approaches work for different kinds of target populations or targeted outcomes, and the relative costs and benefits of different models

Home Visiting Program

Guiding Principles, continued

4. The program will advance a nationwide effort to build high-quality, *comprehensive* statewide early childhood systems in every State for pregnant women, parents and caregivers, and young children, assuring that all individuals can reach their full potential for health and well-being throughout the course of their lives and regardless of their societal context.

Home Visiting Program

Guiding Principles, continued

5. The program will establish home visiting as a key early childhood service delivery strategy to promote health and development among young children and families at risk.
6. The program will bring together and foster collaboration among maternal and child health, early learning, and child abuse prevention leaders in every State to create a successful national home visiting program.

Home Visiting Program Guiding Principles, continued

7. The program will promote broad-based collaboration and partnerships among States, the Federal government, local communities, home visitation program developers, families, and other stakeholders.

Key Program Features

- “Evidence-Based” Policy
- Needs Assessment

“Evidence-Based” Policy

- Requires States to implement evidence-based home visiting models
 - HHS will provide an opportunity for public comment on proposed criteria for assessing evidence of effectiveness of home visiting program models
- Allows for continued experimentation with new models
 - 25% of funding can be used to fund “promising and new approaches” that would be rigorously evaluated

Needs Assessment

- Within 6 months of enactment, States must conduct a statewide needs assessment that identifies:
 - Communities with concentrations of premature birth, low-birth weight infants, and infant mortality, including infant death due to neglect, or other indicators of at-risk prenatal, maternal, newborn, or child health; poverty; crime; domestic violence; high rates of high-school drop-outs; substance abuse; unemployment; or child maltreatment.

Needs Assessment, continued

- The State's capacity for providing substance abuse treatment and counseling services to individuals and families in need of such treatment or services.
- The quality and capacity of existing programs or initiatives for early childhood home visiting in the State, including the number and types of individuals and families who are receiving services under such programs or initiatives; the gaps in early childhood home visiting in the State; and the extent to which such programs or initiatives are meeting the needs of eligible families.

Needs Assessment, continued

The assessment must be coordinated with and take into account the following needs assessments:

- (1) The needs assessment required by the Title V MCH Block Grant program;
- (2) The communitywide strategic planning and needs assessments conducted in accordance with section 640(g)(1)(C) of the Head Start Act; and
- (3) The inventory of current unmet needs and current community-based and prevention-focused programs and activities to prevent child abuse and neglect, and other family resource services operating in the State required under section 205(3) of Title II of the Child Abuse Prevention and Treatment Act (CAPTA).

Needs Assessment, continued

- States must submit a plan for responding to identified needs.
- **Failure to complete and submit a needs assessment that meets requirements will result in the loss of the State's FY 2011 allotment under the Title V Block Grant.**

FY 2010 Funding Announcement Process

Three Funding Opportunity Announcements:

1. FOA for Needs Assessment;
2. Full Needs Assessment FOA; and
3. FOA for Updated State Plan and for a State Maternal, Infant, and Early Childhood Home Visiting Program Grant, including Evidence-Based Criteria for Model Selection

1st Funding Opportunity Announcement

- Published June 10, 2010
- Application due July 9, 2010 (30 days)
- Provides instructions for States in
 1. Assessing the availability of data presently available in the State for completing the needs assessment;
 2. Identifying the additional information that will need to be collected;
 3. Specifying how the State plans to go about conducting the needs assessment overall; and
 4. Meeting the requirements for Maternal, Infant, and Early Childhood Home Visiting program funding.

1st Funding Opportunity Announcement, continued

Includes:

- Explanation of FY 2010 funding allocations
- Information on eligible applicants and administering entity
- Maintenance of Effort requirement
- A summary of the required elements of the needs assessment
- Instructions for submitting an application

FY 2010 Funding

- Allocated by formula grant to 56 States and territories.
- FY 2010 funds available for expenditure through the end of FY 2012
- Formula:
 - 1) An equal base allocation of \$500,000 for each State; plus
 - 2) An amount equal to the funds, if any, currently provided to a State or entity within that State under the Supporting Evidence Based Home Visiting (EBHV) Program administered by the Children's Bureau of the Administration for Children and Families; plus
 - 3) An amount based on the number of children in families at or below 100% of the Federal poverty level in the State as compared to the number of such children nationally

FY 2010 Funding, continued

- If any funds are currently provided to a State or entity within that State for one of the 17 ACF funded projects under the Supporting Evidence Based Home Visiting (EBHV) Program administered by the Children's Bureau of the Administration for Children and Families, the new funds awarded for this purpose will be used to support that current grantee.
- These grantees will continue to receive funding to complete their grant activities for the remainder of their project period (FY 2013).

FY 2010 Funding, continued

- Upon receipt of an approvable application under the 1st FOA, a notice of grant award for the State's formula allocation will be processed.
- All but \$500,000 of the State's allocation will be restricted, and funds will not be available for expenditure until such time as the State submits an approvable needs assessment and Updated State Plan for the home visiting program.
- The unrestricted funds may be used for planning or implementation activities associated with the establishment of early childhood home visiting programs.

Eligible Applicants

- Single application from each State, the District of Columbia, Puerto Rico, Guam, the Virgin Islands, the Northern Mariana Islands, and American Samoa.
- **The Governor has the responsibility and authority to designate which entity or group of entities will apply for and administer home visiting program funds on behalf of the State.**

Eligible Applicants, continued

- Entity designated by the Governor should have strong organizational capacity to implement the activities involved in carrying out an evidence-based home visiting program.
 - Experience administering early childhood home visiting programs
 - Experience working across systems and in partnership with diverse stakeholders at State and local levels to plan, implement, and sustain programs for children and families.
 - Capacity to review the evidence criteria that will be established by HHS and to determine how the evidence-based requirements of the program will be met.

Eligible Applicants, continued

- Regardless of the entity or entities designated by the Governor, the State's application must contain the concurrence (through letters of support) of the:
 - Director of the State's Title V agency;
 - Director of the State's agency for Title II of CAPTA;
 - Director of the State's Single State Agency for Substance Abuse Services; and
 - Director of the State's Head Start State Collaboration Office.

Eligible Applicants, continued

- The application should also be coordinated with:
 - The strategic plan developed by the State Advisory Council established under section 642 B(b)(1)(A)(i) of the Head Start Act, 42 USC 9837B(B)(1)(A)(i);
 - The State's child care agency;
 - The State's education agency; and
 - The State's child welfare agency, if this agency is not also administering Title II of CAPTA.

Maintenance of Effort (MOE)

- Funds provided to an eligible entity receiving a grant shall supplement, and not supplant, funds from other sources for early childhood home visitation programs or initiatives.
- The grantee must agree to maintain *non-Federal* funding (State General Funds) for grant activities at a level which is not less than expenditures for such activities as of the date of enactment of the legislation, March 23, 2010.

1st FOA Application Instructions

States must submit:

- An inventory of the information or data currently available to the State for the purposes of the needs assessment.
 - This inventory should not include actual data about at-risk communities or areas, but instead must describe the existing data sources available to the State
- A discussion of the gaps in the currently available information (i.e. which required data are not readily available to the State).
- A discussion of the State's capacity to locate, gather, and assemble the information or data required for the needs assessment, including the expected obstacles to and opportunities for comprehensive, timely, quality data collection.

1st FOA Application Instructions, continued

States must submit:

- A discussion of the barriers to and opportunities for ensuring that the needs assessment is coordinated with, and takes into account, the State Title V MCH Block Grant needs assessment, the communitywide strategic planning and needs assessments conducted in accordance with section 640(g)(1)(c) of the Head Start Act, and the inventory of current unmet needs and current community-based and prevention-focused programs and activities to prevent child abuse and neglect, and other family resource services operating in the State required under section 205(3) of Title II of the Child Abuse Prevention and Treatment Act.

1st FOA Application Instructions, continued

States must submit:

- A general description of the State's approach to conducting the assessment of needs and available resources.
- A description of anticipated technical assistance needs.
- A statement indicating whether the State intends to apply for funds under this program, along with required assurances.
- A statement designating the entity or entities within the State that will administer funds under this program, and describing the capacity of this lead entity to carry out the program

Next Steps

- Proposed criteria for evidence of effectiveness published for public comment
 - June 2010
- Full Needs Assessment FOA (2nd FOA) published
 - July 2010
- FY 2010 funds awarded to States (with restrictions)
 - Mid-July 2010

Next Steps, continued

- FOA published for Updated State Plan (including evidence-based criteria) (3rd FOA)
 - August 2010
- State Needs Assessment due
 - September 1, 2010
- Updated State Plan due
 - Early FY 2011

Questions?



Contact Information

Contact Audrey Yowell of HRSA and Moushumi Beltangady of ACF at homevisiting@hhs.gov with any questions and comments.

Thank you!